

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68355

Noriaki IKENAGA, et al.

Appln. No.: 10/062,405

Group Art Unit: 1763

Confirmation No.: 4115

Examiner: Anna M. CROWELL

Filed: February 5, 2002

For: METHOD AND APPARATUS FOR MODIFYING SURFACE OF CONTAINER MADE

OF POLYMERIC COMPOUND

### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1) Japanese Patent Publication No. 10-032210, published February 3, 1998; Englishlanguage Abstract submitted herewith;
- 2) Japanese-language publication entitled "Ion Implantation Into Polymer Material", English-language translation submitted herewith;
- 3) U.S. Patent No. 5,970,366, issued October 19, 1999;
- 4) U.S. Patent No. 5,695,827, issued December 9, 1997; and
- 5) U.S. Patent No. 5,192,697, issued March 9, 1993.

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## INFORMATION DISCLOSURE STATEMENTAtty Docket No. Q68355 U.S. Patent Appl'n No. 10/062,405

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R § 1.97(e)) after the later of three months from the application's filing date, and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits with Japanese Patent Publication No. 10-032210 an English-language Abstract, and with the Japanese-language publication entitled "Ion Implantation Into Polymer Material", and English-language translation.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

## INFORMATION DISCLOSURE STATEMENTAtty Docket No. Q68355 U.S. Patent Appl'n No. 10/062,405

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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Date: December 5, 2005

Substitute for Form 1449 A & B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Action Number	10/062,405				
Confirmation Number	4115				
Filing Date	February 05, 2002				
First Named Inventor	Noriaki IKENAGA				
Art Unit	1763				
Examiner Name	Anna M. CROWELL				
Attorney Docket Number	O68355				

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,695,827		12/09/1997	Shalaby
	**	US 5,970,366		10/19/1999	Okonogi
	_	US 5,192,697		03/09/1993	Leong
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FOREIGN PATENT DOCUMENTS							
	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	T 1 (
	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JР	10-032210		02/03/1998	NEC Corp	English Abstract
•							

		NON PATENT LITERATURE DOCUMENTS	
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Japanese lanuage articled entitled "Ion Implantation Into Polymer Material"	Yes
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Examiner Signature	Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter of Coffice that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.